

FILE COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Scott, Mark

Appl. No. (to be assigned)

(Continuation of U.S. Application No. 09/429,652;

Filed: October 29, 1999)

Filed: (herewith)

For: **Method, System, and Computer
Program Product for Managing
Jitter**

Confirmation No. (to be assigned)

Art Unit: 2697

Examiner: Ha, Yvonne Quy M.

Atty. Docket: 1848.0070001

Information Disclosure Statement

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- ☐ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.
- ☐ 2. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.
- ☐ 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
 - ☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to

the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

- ☐ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ c. Attached is our PTO-2038 Credit Card Payment Form [OR] Check No. _____ in the amount of \$ _____ in payment of the fee under 37 C.F.R. § 1.17(p).
- ☐ 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Attached is our PTO-2038 Credit Card Payment Form [OR] Check No. _____ in the amount of \$ _____ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:
 - ☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
 - ☐ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

- ☐ 5. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.
- ☐ 6. A concise explanation of the relevance of the non-English language document(s) appears below:
- ☒ 7. Copies of the documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No. 09/429,652, filed October 29, 1999, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



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Page 1 of 2

FORM PTO-1449 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. 1848.0070001	APPLICATION NO. (to be assigned)
	APPLICANT Scott, Mark	
	FILING DATE (herewith)	GROUP 2697

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA1	5,621,727	04/1997	Vaudreuil	370	60	
	AB1	5,742,596	04/1998	Baratz et al.	370	356	
	AC1	5,805,602	09/1998	Cloutier et al.	370	516	
	AD1	5,812,840	09/1998	Shwartz	395	604	
	AE1	5,870,464	02/1999	Brewster et al.	379	219	
	AF1	5,892,822	04/1999	Gottlieb et al.	379	220	
	AG1	5,900,000	05/1999	Korenshtein	707	200	
	AH1	5,940,479	08/1999	Guy et al.	379	93.01	
	AI1	5,940,827	08/1999	Hapner et al.	707	8	
	AJ1	5,940,829	08/1999	Tsuiki et al.	707	10	
	AK1	5,940,832	08/1999	Hamada et al.	707	100	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL1	WO 97/14238 A1	04/1997	PCT	H04L	12/46	N/A
	AM1	WO 97/23078 A1	06/1997	PCT	H04L	12/56	N/A
	AN1	WO 97/27692 A1	07/1997	PCT	H04L	12/56	N/A
	AO1	WO 97/28628 A1	08/1997	PCT	H04L	12/56	N/A
	AP1						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	1	Array Series 3000 Users Manual, Array Telecom Corp, Entire Manual (August 27, 1999).
AS	1	ctvoice IP Telephony, Product Brochure, Comdial, 6 Pages (Copy obtained August 1999).
AT	1	ctvoice System User's Manual, Comdial, Entire Manual (June 1998).

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

ATTY. DOCKET NO.
1848,0070001APPLICATION NO.
(to be assigned)SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENTAPPLICANT
Scott, MarkFILING DATE
(herewith)GROUP
2697

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA2	5,953,405	09/1999	Miloslavsky	379	265	
	AB2						
	AC2						
	AD2						
	AE2						
	AF2						
	AG2						
	AH2						
	AI2						
	AJ2						
	AK2						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL2						Yes No
	AM2						Yes No
	AN2						Yes No
	AO2						Yes No
	AP2						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	2	Yang, C., INETPhone: Telephone Services and Servers on Internet, at http://www.ds.internic.net/rfc/rfc1789.txt , 6 pages, (April 1995).
	AS	2	
	AT	2	

EXAMINER

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APPLICANT
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(herewith)

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2697

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA1	5,757,871	05/1998	Furukawa et al.	375	372	
	AB1	5,790,543	08/1998	Cloutier	370	395	
	AC1	5,897,613	04/1999	Chan	704	210	
	AD1						
	AE1						
	AF1						
	AG1						
	AH1						
	AI1						
	AJ1						
	AK1						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL1						Yes No
	AM1						Yes No
	AN1						Yes No
	AO1						Yes No
	AP1						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>1</u>	
	AS	<u>1</u>	
	AT	<u>1</u>	

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<p style="text-align: center;">FORM PTO-1449</p> <p style="text-align: center;"><u>INFORMATION DISCLOSURE STATEMENT</u></p>	<p>ATTY. DOCKET NO. 1848,0070001</p>	<p>APPLICATION NO. (to be assigned)</p>
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<p>FILING DATE (herewith)</p>		<p>GROUP 2697</p>

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA1	5,790,538	08/1998	Sugar	370	352
	AB1					
	AC1					
	AD1					
	AE1					
	AF1					
	AG1					
	AH1					
	AI1					
	AJ1					
	AK1					

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL1					Yes No
	AM1					Yes No
	AN1					Yes No
	AO1					Yes No
	AP1					Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	1	<p>Prosise, Jeff, "Programming Windows 95 with MFC, Part VII: The Document/View Architecture," Microsoft Systems Journal (Redmond, Washington), 35 pages, February 1996.</p>
AS	1	<p>Anquetil, L-P et al., "Media Gateway Control Protocol and Voice Over IP Gateways: MGCP and VoIP Gateways Will Offer Seamless Interworking of New VoIP Networks with Today's Telephone Networks," Alcatel Communications Review-2nd Quarter, Alcatel Corporate Research Center, Marcoussis, France, April 1999, pp. 151-157.</p>
AT	1	<p>Copy of International Search Report, issued August 29, 2000, for PCT/US00/02330, 5 pages.</p>

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	AA1						
	AB1						
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	AH1						
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	AJ1						
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL1						Yes No
	AM1						Yes No
	AN1						Yes No
	AO1						Yes No
	AP1						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	1	Held, G., <u>Voice Over Data Networks</u> , McGraw-Hill Series, Copyright 1998.
AS	1	McConnell, B., <u>How to Build an Internet PBX</u> (April 1997), < http://www.hellodirect.net/tutorial/ip-phone.htm >, 10 pages.
AT	1	Sheldon, T., <u>Encyclopedia of Networking</u> , McGraw-Hill, 1998, Table of Contents, pp. 503-543, 959-968 and 1047-1050.

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